

# Enabling Open and Reproducible Research

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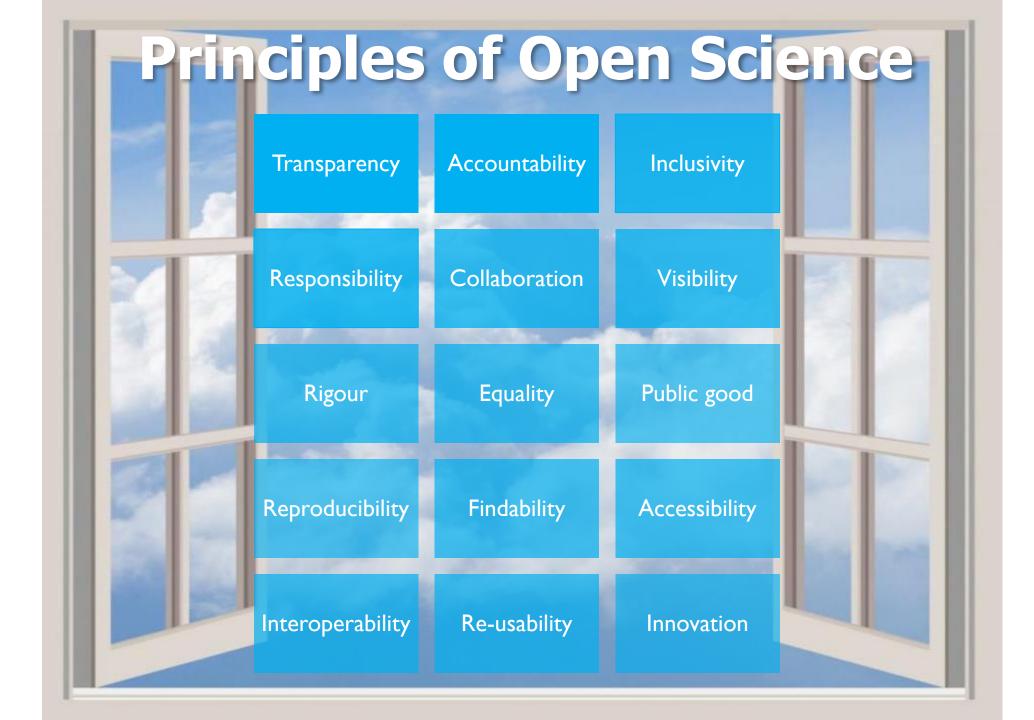




# Open Science: Opening up scientific processes and products from all levels to everyone ...

- Open Access (publications)
- Open/FAIR Data
- Open Source software
- Open methods, protocols & materials
- Citizen Science
- Open Evaluation / Open Peer Review







## Open and Reproducible Research Group

https://orrg.eu/

Research group based at TU Graz and Know-Center

Qual and quant methods to research uptake and efficacy of open and reproducible research





# Open Science → Equity?

#### https://on-merrit.eu

# The ON-MERRIT project

- H2020 project: October 2019 March 2022
- Methods: Sociological, bibliometric and computational approaches











#### **Objectives**

- Ensure that Open Science & RRI interventions contribute to a more equitable scientific system
- Distribution of rewards based on merit rather than privilege

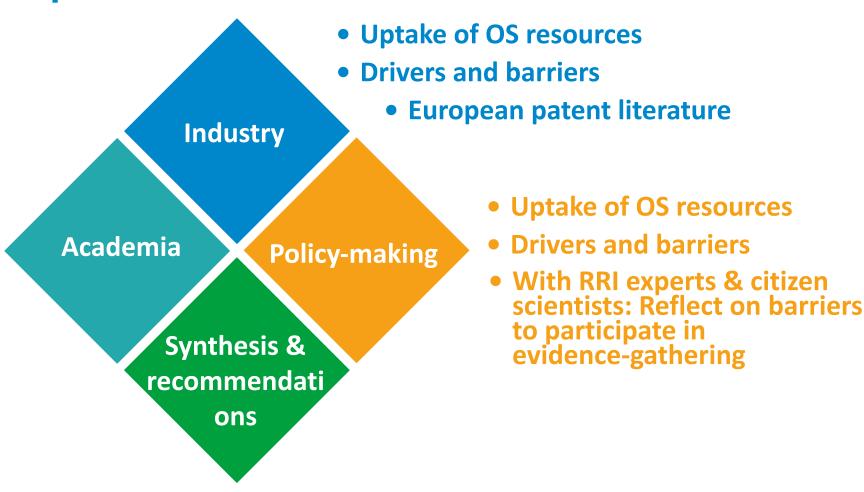




## Key research questions & methods

#### Effects of....

- barriers to accessing literature
- OS & RRI practices on career progression
- OS & RRI indicators in promotion policies
- OS & RRI training

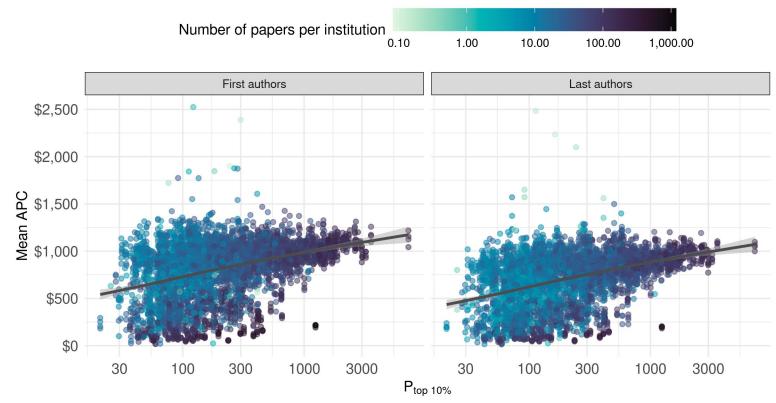


• Effects of traditional vs. potentially new OS & RRI indicators on research practices



# The issue with Article Processing Charges (APCs)

## Institutional resources and APCs are linked



Fractional counting; 2016-2019

There is an association between institutional resources and average APCs

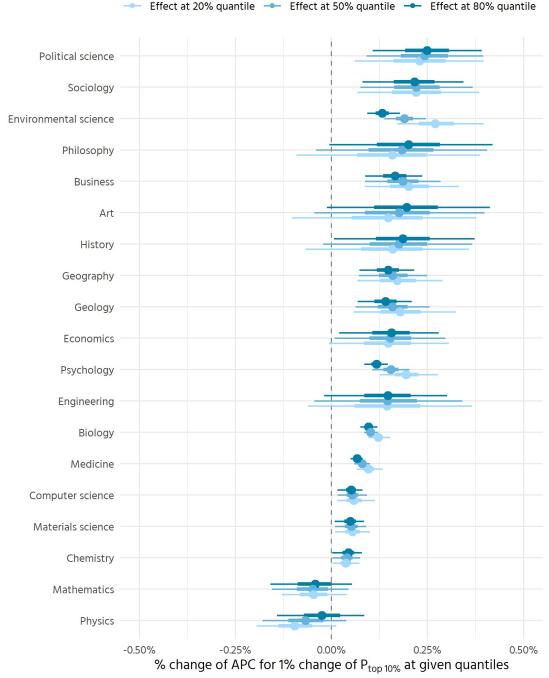
Might be explained by other factors (country, field)

# Multilevel mixing: fields differ

Bayesian multilevel hurdle model to control for field and country effects.

#### We find:

- Small to moderate effect of institutional resources on APCs in most fields
- Strongest effects in social sciences
- Inverse effect in "Mathematics" and "Physics": better resourced institutions publish more in OA journals with no APC



## Summary: Stratification in APC-based OA

Researchers from better resourced institutions publish more

APC-based OA and pay higher APCs

OA publishing involving APCs is creating a new barrier for who can publish where

#### **Implications**



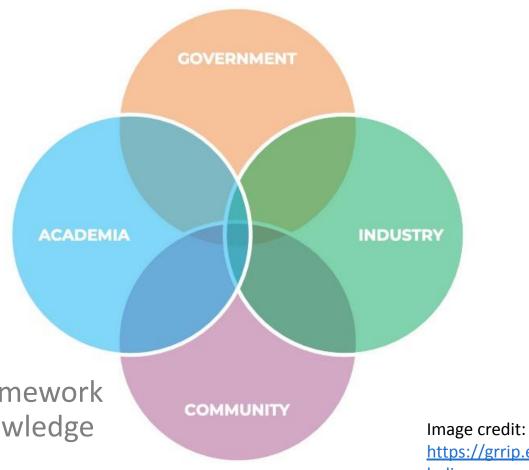
Photo by Joshua Hoehne on Unsplasi

- Voices from societies and communities less embedded in global science are further marginalised
- Global issues need global perspectives, APC-OA is leading to the opposite
- Existing inequities are amplified (citation advantage, future reward structures)

# Beyond Open: Conducting Responsible Research

- Responding to global challenges with Responsible Research and Innovation (RRI)
- Make R&I processes and outputs transparent, accessible, participatory, inclusive, equitable, ethical and responsive to the needs, expectations and values of society
- RRI is "a transparent, interactive process by which societal actors and innovators become mutually responsive to each other with a view to the (ethical) acceptability, sustainability and societal desirability of the innovation process and its marketable products (in order to allow a proper embedding of scientific and technological advances in our society)" (René Von Schomberg)

# The Quadruple Helix



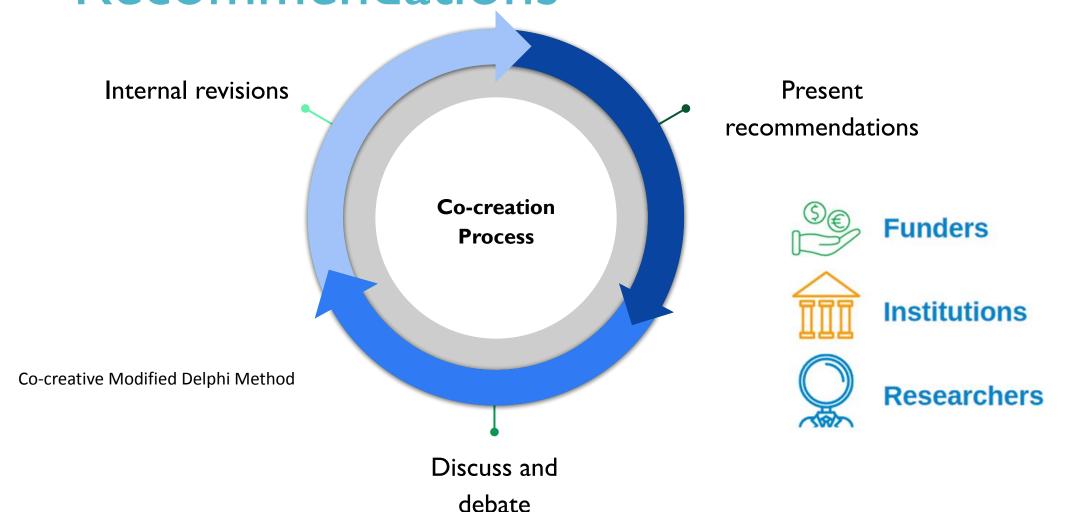
The quadruple innovation helix framework describes interactions within a knowledge economy.

https://grrip.eu/why-is-quadruple-helix-engagement-so-important/

# Implementing RRI

- Considerable funding and support from from Horizon Europe
- Enabling factors to doing RRI
- Benefits to doing RRI

# Example: Co-Creating ON-MERRIT Recommendations



## Discussion

- Questions, or?
- Is equity a consideration in your research process, and if so, in what ways?
- What does responsible research mean to you? How do you operationalise it?
- Do you think your R&I process would benefit from an RRI/quadruple helix approach?

#### Coming soon ...

# **TIER2**: ENHANCING TRUST, INTEGRITY AND EFFICIENCY IN RESEARCH THROUGH NEXT-LEVEL REPRODUCIBILITY IMPACT PATHWAYS



New 2m EUR EC-funded project starting Jan 2023

Case-study, co-creative approach to creating and evaluating new reproducibility tools and practices

















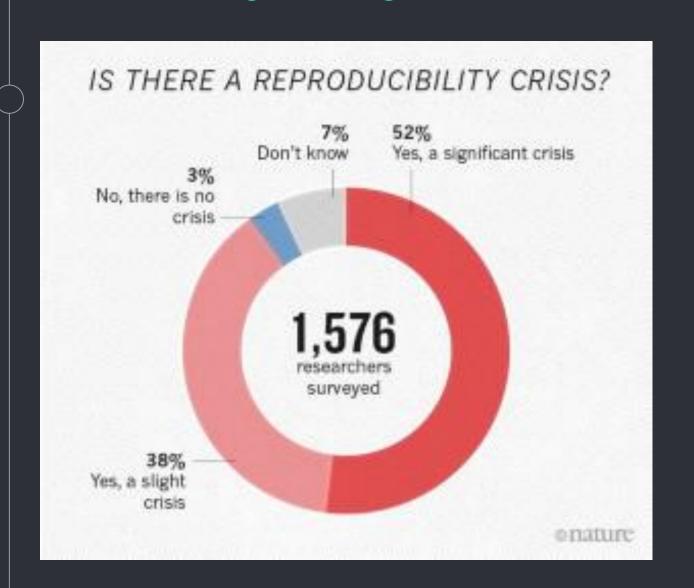




#### Reproducibility

- **Reproducibility**: Definitions of reproducibility (and replicability, etc.) vary widely.
- In the broad sense we refer to the possibility for the scientific community to **obtain the same results** as the originators of a specific finding.
- Computational reproducibility: Same finding obtained using same dataset, experimental setup and methodology;
- Replicability: Same finding obtained from the same or similar analytical method on different datasets. Can be further delineated:

#### 2016 - "Survey sheds light on the 'crisis' rocking research."



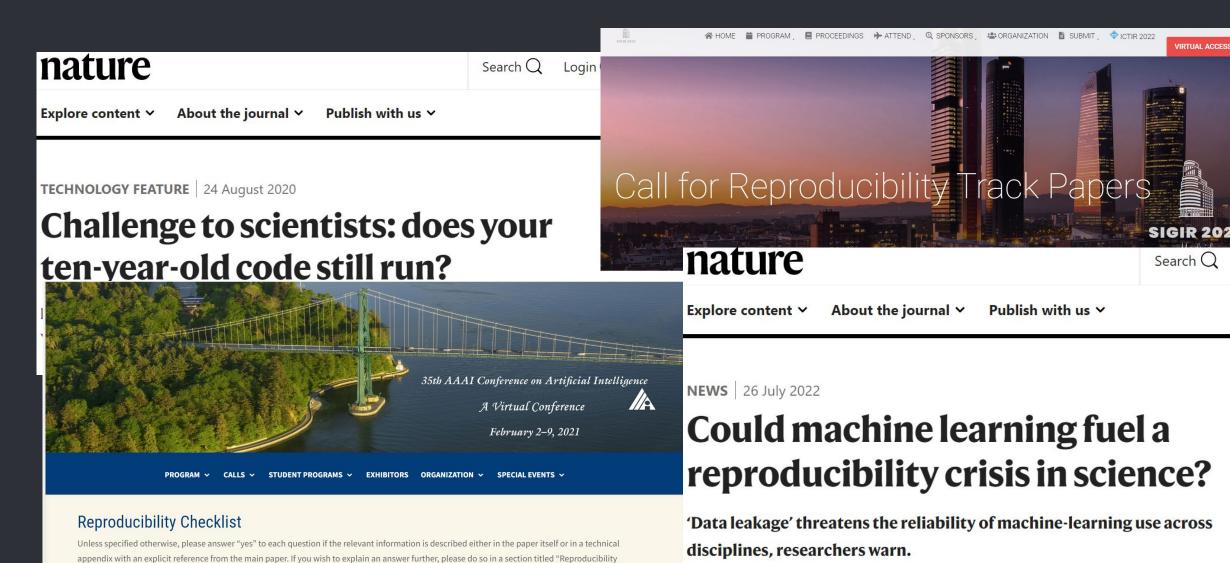
Baker, M. 1,500 scientists lift the lid on reproducibility. Nature 533, 452–454 (2016). https://doi.org/10.1038/533452a

#### Epistemic diversity

- "Reproducibility for data-intensive research comes in a variety of forms geared to specific features of the research environment at hand, especially:
  - Assumed degree of control over research conditions
  - Dependence on statistics as inferential tool 0
  - 0 Precision of the research goals
  - Dependence on researchers' judgement" 0

#### - Sabina Leonelli

#### Reproducibility in Computer Science



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Elements of research culture change (adapted from Nosek, 2019)

- So many building blocks to put in place
- Coordinated change across all levels
- Building on the great strides already made
  - Repro Networks
  - Open infras, e.g., EOSC

Make it **Policies** required Make it Incentives rewarded Make it Communities normative Make it Skills easy Make it **Tools** possible

Figure adapted from CC BY figure in: Nosek, B. 2019. "Strategy for Culture Change." 2019. https://www.cos.io/blog/strategy-for-culture-change.

Evidence

Enables informed decisions

# Provisional Framework for mapping impact pathways to optimal reproducibility

SOURCES OF EPISTEMIC DIVERISTY

Material – Conceptual – Methodological Infrastructural – Socio-cultural – Institutional

Tools
Skills
Communities
Incentives

Evidence

**Funds** 

**Policies** 

New tools and services

- Planning
  - Data collection
  - Data analysis
  - Publication/sharing
  - Assessment

Networking and capacity-building

Enhanced awareness and training

New incentives for reproducible practices

Reproducible

Workflows

Open Data

Open Code

Enhanced skills

Improved awareness of biases

Improved behaviours

Networks of practitioners Increased proportion of reproducible results from publicly-

Increased re-use of scientific results by R&I

funded R&I

Greater quality of scientific production

Increased integrity, efficiency and excellence of R&I

Greater societal trust in science

**STAKEHOLDERS** 

Researchers – Funders – Publishers
Institutions – Scholarly/learned societies – Infrastructure providers
Policy-makers – Civil Society – Industry – Knowledge-transfer

# NETWORK & EMPOWER CONCEPTUALISE DESIGN Future studies to

Co-creation - Strategic Alignment Training - Skills - Reproducibility Hub 6

Conceptual framework for epistemic diversity across cases

Scope the evidence Inventory tools and practices Future studies to prioritise design elements

Co-creation of pilots

Develop assessment framework



IMPLEMENT

Develop & pilot tools for

- Researchers
- Publishers
- Funders

to enable & monitor reproducibility



ASSESS

Implement pilot assessment framework

Synthesise findings to evaluate gains & savings

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RECOMMEND & REFLECT

Co-create future roadmap & policy recs

Reflect on TIER2 radical repro-Ducibility practices

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**USE CASES** 

Social Sciences - Life Sciences - Computer Science/Al Publishers - Funders

#### New tools for researchers, publishers, funders

- Reproducibility Hub (incl. checklists)
- Reproducibility planning tools
- Reproducible research workflows
- Data/code review workflows
- Standards for threaded publications
- Reproducibility monitoring

- How important is reproducibility as a theme in your research?
- What are the main things that could could be done to improve reproducibility in your field?





## Thanks for listening!









